

# Lie Groups and Geometry, Sections 6-8

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Simon Donaldson

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## Section 6: Spinors and exceptional Lie groups

### *The Spin representations*

It can be shown that for a simply connected Lie group  $G$  of rank  $n$  there is a set of  $n$  weights  $\omega_1, \dots, \omega_n$  in the FWC such that any weight in the FWC is a sum  $\sum a_i \omega_i$  with integers  $a_i \geq 0$ . Let  $V_i$  be the irreducible representation corresponding to  $\omega_i$ . It follows that any irreducible representation of  $G$  is contained in a tensor product of symmetric powers

$$s^{a_1}(V_1) \otimes \cdots \otimes s^{a_n}(V_n).$$

The  $V_i$  are called the *fundamental representations* of  $G$ .

For each  $\omega_i$  there is a unique simple root  $\alpha_i$  such that  $\alpha_i \cdot \omega_i \neq 0$ .

So the nodes of the Dynkin diagram can be labelled by the fundamental representations.

For  $G = SU(n + 1)$  the fundamental representations are

$$V, \Lambda^2 V, \dots, \Lambda^n V$$

where  $V = \mathbf{C}^3$ .

(We saw this before for  $SU(3)$  since then  $\Lambda^2 V = V^*$ .)

Any representation is contained in a tensor power  $V^{\otimes N}$ .  
There is an elaborate theory describing these representations.

For  $G = Sp(n)$ , let  $V = \mathbf{C}^{2n}$  with standard symplectic form  $\omega$ .  
Wedge product defines maps  $L : \Lambda^i \rightarrow \Lambda^{i+2}$ . The isomorphism  
 $V = V^*$  gives maps  $\Lambda : \Lambda^i \rightarrow \Lambda^{i-2}$ .

For  $i \leq n$  the “primitive” subspace  $P_i$  is the kernel of  $\Lambda$ . The  
fundamental representations are  $P_1, \dots, P_n$ .

The orthogonal group  $SO(m)$  has a double cover  $\text{Spin}(m)$  which is simply connected if  $m > 2$ .

The fundamental representations of  $\text{Spin}(2n + 1)$  are

$$\Lambda^1, \dots, \Lambda^{n-1}, S$$

and of  $\text{Spin}(2n)$  are

$$\Lambda^1, \dots, \Lambda^{n-2}, S^+, S^-$$

where  $S, S^+, S^-$  are the *spinor representations*.

Let

$$\tilde{U}(n) = \{(g, a) \in U(n) \times S^1 : a^2 = \det g\}.$$

Projection to the first factor defines a double cover  $\tilde{U}(n) \rightarrow U(n)$ .

Projection to the second factor defines a 1-dimensional representation  $L$  of  $\tilde{U}(n)$  such that  $L^2 = \Lambda^n$ .

Write

$$S = \left( \bigoplus \Lambda^i \right) \otimes L^{-1}.$$

This is a representation of  $\widetilde{U}(n)$ . We write  $S = S^+ \oplus S^-$  according to  $i$  even or odd.

## Proposition

$\tilde{U}(n) \subset \text{Spin}(2n)$  and the representations  $S^\pm$  extend to irreducible representations of  $\text{Spin}(2n)$ . Moreover there is an equivariant map

$$\Gamma : V \otimes S \rightarrow S$$

where  $V = \mathbf{R}^{2n}$ . Writing  $\Gamma(\sigma \otimes v) = \gamma_v(\sigma)$  the  $\gamma_v$  map  $S^\pm$  to  $S^\mp$  and if  $|v| = 1$  the map  $\gamma_v$  is an isometry with  $\gamma_v^2 = -1$ .



In fact the map  $\Gamma$  defines the  $\text{Spin}(2n)$  action.

For a vector space  $V$  (real or complex) with nondegenerate quadratic form  $Q$  the *Clifford algebra*  $\text{Cliff}(V)$  is the algebra generated by  $1, V$  subject to the relation  $v^2 = -Q(v)1$  for  $v \in V$ .

There is a canonical vector space isomorphism  $\text{Cliff}(V) = \Lambda^* V$ . Under this isomorphism, Clifford multiplication takes  $\Lambda^2 \times \Lambda^2$  to  $\Lambda^0 + \Lambda^2 + \Lambda^4$ . The first and third components are symmetric and the second is skew symmetric. Thus the bracket  $[a, b] = ab - ba$  maps  $\Lambda^2 \times \Lambda^2$  to  $\Lambda^2$  and defines a Lie algebra structure on  $\Lambda^2$ .

The basic fact is that, with suitable identifications, this is the same as the bracket on the Lie algebra of  $SO(V, Q)$ .

It follows that any representation of the Clifford algebra defines a representation of the Lie algebra of  $SO(V, Q)$  which, by general theory, corresponds to a representation of the spin double cover (with a special treatment in the case  $\dim V = 2$ ).

The algebra is a bit clearer in the complex case, so let now  $W$  be a complex vector space of dimension  $2n$ . We can assume that  $V = U \oplus U^*$  with the quadratic form given by minus the dual pairing. Define  $\Sigma = \Lambda^* U$ . For  $w \in W$  we define  $\gamma_w : \Sigma \rightarrow \Sigma$  by:

- If  $w = u \in U \subset W$  then  $\gamma_u(\alpha) = u \wedge \alpha$ ;
- if  $w = \eta \in U^* \subset W$  then  $\gamma_\eta(\alpha) = i_\eta \alpha$ .

Then  $\gamma_u^2 = \gamma_\eta^2 = 0$  and

$$\gamma_u \gamma_\eta + \gamma_\eta \gamma_u = \eta(u) 1$$

So this gives a representation of the Clifford algebra on  $\Sigma$ .

We have  $GL(U) \subset SO(W, Q)$ . The Lie algebra action we have defined does not agree with the standard one on  $\Lambda^* U$  but if we take  $S = \Sigma \otimes L$  where  $L$  is a 1-dimensional representation of  $\mathfrak{gl}(U)$  in which  $\xi \in \mathfrak{gl}(U)$  acts as  $\text{Tr}(\xi)/2$ , then the actions agree.

To get back to the real case, let  $V$  be a  $2n$ -dimensional real oriented Euclidean space and choose a compatible complex structure  $I$  on  $V$ . Set  $W = V \otimes \mathbf{C}$ . Then  $W = V' \oplus V''$  where  $I = i$  on  $V'$  and  $-i$  on  $V''$  and these are isotropic subspaces for the complex extension of the quadratic form, as above. Thus  $S = \Lambda^* V' \otimes L$ .

Our standard maximal torus in  $U(n)$  gives a maximal torus in  $SO(2n)$ . The weight lattices of  $\tilde{U}(n)$  and  $\text{Spin}(2n)$  are the same, given by  $\sum a_i \lambda_i$  where  $a_i \in (1/2)\mathbf{Z}$  and are all equal modulo  $\mathbf{Z}$ . The  $2^n$  weights of the representation  $S$  are

$$\pm \frac{1}{2} \lambda_1 \pm \frac{1}{2} \lambda_2 \cdots \pm \frac{1}{2} \lambda_n.$$

Those with an even/odd number of  $+$  signs belong to  $S^\pm$ .

There is a complex antilinear map  $*$  :  $\Lambda^p V' \rightarrow \Lambda^{n-p} V'$ . This induces a  $\text{Spin}(2n)$ -invariant antilinear map  $\sigma : \mathcal{S} \rightarrow \mathcal{S}$ .

- For  $n$  odd  $\sigma$  defines an isomorphism  $\mathcal{S}^- = \overline{\mathcal{S}^+}$ .
- For  $n = 0 \pmod 4$  the representations  $\mathcal{S}^\pm$  are *real*.
- For  $n = 2 \pmod 4$  the representations  $\mathcal{S}^\pm$  are *quaternionic*

Now let  $V$  be an oriented Euclidean space of dimension  $2n - 1$ . The preceding discussion applies to  $V \oplus \mathbf{R}e$  and gives spaces  $S_{2n}^+, S_{2n}^-$ . We define  $S_{2n-1} = S_{2n}^+$ . The map  $\gamma_e : S_{2n}^+ \rightarrow S_{2n}^-$  is an isomorphism so we can use either.

On the other hand, if  $V = V_{2n-2} \oplus \mathbf{R}e'$  we have defined spaces  $S_{2n-2}^\pm$  and

$$S_{2n-1} = S_{2n-2}^+ \oplus S_{2n-2}^-.$$

The Clifford action of  $e'$  is by  $+i$  on  $S_{2n-2}^+$  and  $-i$  on  $S_{2n-2}^-$ .

For  $m = \pm 1 \pmod 8$  the representation  $S_m$  is *real* and for  $m = \pm 3 \pmod 8$  it is quaternionic.

The co-adjoint orbit  $M$  corresponding to  $S_{2n}^+$  is the set  $SO(2n)/U(n)$  of complex structures on  $\mathbf{R}^{2n}$  compatible with metric and orientation. For  $S^-$  we reverse the orientation. In the complex description,  $M$  is one component of the set of  $n$ -dimensional isotropic subspaces in  $(\mathbf{C}^{2n}, Q)$ . For example, when  $n = 2$  these correspond to the lines in a quadric surface in  $\mathbf{CP}^3$ : there two components given by the two rulings of a quadric surface.



In low dimensions the spin representations define the following isomorphisms:

- $\text{Spin}(3) = SU(2) = Sp(1)$ ;
- $\text{Spin}(4) = SU(2) \times SU(2) = Sp(1) \times Sp(1)$ ;
- $\text{Spin}(5) = Sp(2)$ ;
- $\text{Spin}(6) = SU(4)$ .

## Some exceptional Lie groups

### Topics

- 1  $G_2$
- 2 Triality
- 3  $F_4$  and the Cayley plane
- 4  $E_8$ .

In this subsection we write  $\mathcal{S}_m$  etc. for the spin representation of  $\text{Spin}(m)$ .

In dimension 7 the spin representation is a real vector space  $\mathcal{S}_{7,\mathbf{R}}$  of dimension 8.

## Proposition ExG1

$\text{Spin}(7)$  acts transitively on the unit sphere in  $S_{7,\mathbf{R}}$ .

Fix a decomposition  $\mathbf{R}^7 = \mathbf{R}^6 + \mathbf{R}e'$  so  $\mathcal{S}_7 = \mathcal{S}_6^+ \oplus \mathcal{S}_6^-$ . Taking account of the real structure,  $\mathcal{S}_{7,\mathbf{R}}$  is identified with  $\mathcal{S}_6^+$ , regarded as a real vector space.

We know that  $\text{Spin}(6) = \text{SU}(4)$ . More precisely, the spin representation

$$\text{Spin}(6) \rightarrow \text{SU}(\mathcal{S}_6^+)$$

is an isomorphism. It follows that  $\text{Spin}(6)$  acts transitively on the sphere in  $\mathcal{S}_6^+$  and hence the Proposition.

**Definition** Fix a unit spinor  $\sigma_0 \in \mathcal{S}_{7,\mathbf{R}}$ . The Lie group  $G_2$  is the stabiliser in  $\text{Spin}(7)$  of  $\sigma_0$ .

The dimension of  $\text{Spin}(7)$  is 21 so  $G_2$  has dimension  $21 - 7 = 14$ .

The covering  $\text{Spin}(m) \rightarrow \text{SO}(m)$  has kernel  $\{1, A\}$  say with  $A^2 = 1$ . One checks that  $A$  acts as  $-1$  in the spin representation. In particular,  $A \notin G_2 \subset \text{Spin}(7)$  and hence  $G_2$  can be regarded as a subgroup of  $\text{SO}(7)$ .

## Proposition ExG2

$G_2$  acts transitively on the unit sphere  $S^6$  in  $\mathbf{R}^7$  and the stabiliser of a point is a copy of  $SU(3) \subset SO(6) \subset SO(7)$ .

Fix a unit vector  $e'$  in  $\mathbf{R}^7$  as before and choose a complex structure on  $\mathbf{R}^6$  so we have  $\mathbf{R}^7 = \mathbf{R}e' \oplus \mathbf{C}^3$  and

$$\mathcal{S}_{7,\mathbf{R}} = (\Lambda^0 + \Lambda^2) \otimes (\Lambda^3)^{-1/2}.$$

Fix a basis element  $\nu$  for  $(\Lambda^3)^{-1/2}$  and let

$$\sigma_0 = \mathbf{1} \otimes \nu \in \mathcal{S}_{7,\mathbf{R}}.$$

Use this to define  $G_2$ . We see that  $SU(3) \subset G_2 \cap SO(6)$ . The stabiliser in  $SU(4)$  of a unit vector in  $\mathbf{C}^4$  is  $SU(3)$  so we see that  $G_2 \cap SO(6) = SU(3)$ .

We want to show that the derivative of the action of  $G_2$  on  $S^6$

$$D : \text{Lie}(G_2) \rightarrow TS_e^6,$$

is surjective. By definition, the kernel of  $D$  is the Lie algebra of  $G_2 \cap SO(6)$  which has dimension 8, as above. Thus the image has dimension  $14 - 8 = 6$  and so is the whole tangent space.

We have  $\text{Lie}(G_2) = \mathfrak{su}(3) \oplus \mathbf{C}^3$  where the adjoint action restricts to the standard action of  $SU(3)$  on  $\mathbf{C}^3$ . Here  $\mathbf{C}^3$  is regarded as a real vector space. It follows that a maximal torus in  $SU(3)$  is maximal in  $G_2$ . The 12 roots of  $G_2$  are

- the 6 roots of  $SU(3)$ , which have length  $\sqrt{3}$ ;
- the 6 weights of the complex representation  $\mathbf{C}^3 + \overline{\mathbf{C}^3}$ , which have length 1.



## Triality, I

In dimension 8 we have 8-dimensional real vector spaces  $\mathcal{S}_{8,\mathbf{R}}^{\pm}$ .

The spin representation gives a homomorphism  $\text{Spin}(8) \rightarrow \text{SO}(\mathcal{S}_{8,\mathbf{R}}^+)$ . The groups have the same dimension and since  $\mathfrak{so}(8)$  is simple this must be a local isomorphism, which then lifts to an isomorphism  $\text{Spin}(8) \rightarrow \text{Spin}(\mathcal{S}_{8,\mathbf{R}}^+)$ . It follows that there is an inner automorphism of  $\text{Spin}(8)$  which takes the fundamental representation on  $\mathbf{R}^8$  to the  $+$  spin representation. Similarly for the  $-$  spin representation.

Later we will describe these explicitly.

$F_4$

Recall that a symmetric Lie algebra can be written  $\mathfrak{g} \oplus \mathfrak{p}$  where  $\mathfrak{g}$  is a subalgebra and the component of the bracket mapping  $\mathfrak{p} \times \mathfrak{p}$  to  $\mathfrak{p}$  is zero.

Suppose given a Lie algebra  $\mathfrak{g}$  with invariant positive quadratic form and a representation on a Euclidean space  $\mathfrak{p}$ .

- The Lie algebra structure on  $\mathfrak{g}$  is a map  $\mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$ .
- The action gives us a map  $\mathfrak{g} \times \mathfrak{p} \rightarrow \mathfrak{p}$ . Write this as  $(\xi, \rho) \mapsto [\xi, \rho]$ .
- Changing the sign, we have a map  $\mathfrak{p} \times \mathfrak{g} \rightarrow \mathfrak{p}$ ,  $[\rho, \xi] = -[\xi, \rho]$
- Using the Euclidean structures we have a map  $\mathfrak{p} \times \mathfrak{p} \rightarrow \mathfrak{g}$ , written  $(\rho, \rho') \mapsto [\rho, \rho']$ , defined by

$$\langle [\rho, \rho'], \eta \rangle = -\langle \rho', [\rho, \eta] \rangle.$$

The fact that the representation is Euclidean implies that this is skew-symmetric.

Set  $X = \mathfrak{g} \oplus \mathfrak{p}$ . The above data defines  $[\ , \ ] : X \times X \rightarrow X$  with the  $\mathfrak{p} \times \mathfrak{p} \rightarrow \mathfrak{p}$  component set to zero. Conversely, any symmetric Lie algebra (with invariant definite form) arises this way.

When is  $(X, [\ , \ ])$  a Lie algebra?

The condition is that  $\{x, y, z\} = 0$  for all  $x, y, z \in X$  where

$$\{x, y, z\} = [[x, y], z] + [[y, z], x] + [[z, x], y].$$

- If  $x, y, z \in \mathfrak{g}$  this holds since  $\mathfrak{g}$  is a Lie algebra.
- If two of  $x, y, z$  are in  $\mathfrak{g}$ , say  $x$  and  $y$ , and  $z \in \mathfrak{p}$  the condition is

$$[[x, y], z] = [x, [y, z]] - [y, [x, z]],$$

which holds because  $\rho$  is a representation of  $\mathfrak{g}$ .

- If one of  $x, y, z$  is in  $\mathfrak{g}$ , say  $x$ , and  $y, z \in \mathfrak{p}$  the condition is

$$[x, [y, z]] = [[x, y], z] + [y, [x, z]]$$

which holds because  $[\cdot, \cdot] : \mathfrak{p} \otimes \mathfrak{p} \rightarrow \mathfrak{g}$  is a map of  $\mathfrak{g}$  representations.

So the only potential problem comes when  $x, y, z \in \mathfrak{p}$ , in which case  $\{x, y, z\}$  is also in  $\mathfrak{p}$ .

For “most” pairs  $(\mathfrak{g}, \mathfrak{p})$  this will not be zero.

## Proposition ExG3

*Let  $\mathfrak{g} = \mathfrak{so}(9)$  and  $\mathfrak{p} = \mathcal{S}_{9,\mathbf{R}}$ . Then  $X, [ , ]$  is a Lie algebra.*

As above, we need to check that  $\{\sigma_1, \sigma_2, \sigma_3\} = 0$  for all  $\sigma_j \in \mathcal{S}_{9,\mathbf{R}}$ .

Consider  $\mathfrak{so}(8) \subset \mathfrak{so}(9)$ . We have, as usual,

$$\mathfrak{so}(9) = \mathfrak{so}(8) \oplus V$$

where  $V = \mathbf{R}^8$  is the standard 8-dimensional representation. This is a symmetric pair.

To streamline notation we now write  $S^\pm$  for  $S_{8,\mathbf{R}}^\pm$ . So  $S_{9,\mathbf{R}} = S^+ \oplus S^-$  and

$$X = \mathfrak{so}(8) \oplus V \oplus S^+ \oplus S^-. \quad (*****)$$

In the action of  $\mathfrak{so}(9)$  on  $S^+ \oplus S^-$  the subalgebra  $\mathfrak{so}(8)$  preserves  $S^\pm$  while  $V \subset \mathfrak{so}(9)$  interchanges them.

So the bracket on  $X$  maps  $S^+ \times S^-$  to  $V$  and  $S^\pm \times S^\pm$  to  $\mathfrak{so}(8)$ .

If  $\sigma_1, \sigma_2, \sigma_3 \in S^+$  then the calculation of  $\{\sigma_1, \sigma_2, \sigma_3\}$  takes place within  $\mathfrak{so}(8) \oplus S^+$ .

Using triality  $\mathfrak{so}(8) \oplus S^+$  is equivalent to  $\mathfrak{so}(8) \oplus V$ .

Since we know the latter is a Lie algebra we get  $\{\sigma_1, \sigma_2, \sigma_3\} = 0$  in this case. Similarly if  $\sigma_i \in S^-$ .



We reduce to checking the case when  $\sigma_1 \in S^+$  and  $\sigma_2, \sigma_3 \in S^-$ . Then  $\{\sigma_1, \sigma_2, \sigma_3\} \in S^+$ .

For fixed  $\sigma_2, \sigma_3 \in S^-$ , define maps  $A, B : S^+ \rightarrow S^+$  by

$$A(\sigma_1) = [[\sigma_2, \sigma_3], \sigma_1],$$

$$B(\sigma_1) = [\sigma_2, [\sigma_3, \sigma_1]] - [\sigma_3, [\sigma_2, \sigma_1]].$$

We want to show that  $A = B$ .

By construction, our bracket on  $X$  satisfies

$$\langle x, [y, z] \rangle = -\langle [y, x], z \rangle$$

for the inner product on  $X$ .

Using this, we see that  $A, B$  are skew-symmetric maps, so we have  $A, B \in \Lambda^2 S^+$ .

Putting back the  $\sigma_2, \sigma_3$  dependence, we now have maps  $\alpha, \beta : \Lambda^2 S^- \rightarrow \Lambda^2 S^+$  with  $\alpha(\sigma_2 \wedge \sigma_3) = A_{\sigma_2, \sigma_3}$  etc.

Clearly  $\alpha, \beta$  are maps of  $\mathfrak{so}(8)$  representations. By straightforward arguments  $\Lambda^2 S^\pm$  are isomorphic irreducible representations of  $\mathfrak{so}(8)$ , in fact isomorphic to  $\mathfrak{so}(8) = \Lambda^2 V$ .

So  $\alpha, \beta$  are equal up to a factor and to show  $\alpha = \beta$  we just need to calculate one case. (Exercise)

Given Proposition ExG3, we have a compact simply connected Lie group  $F_4$  with Lie algebra  $X$ . It contains  $\text{Spin}(8)$  and  $\text{Spin}(9)$  subgroups.

$F_4$  has dimension  $28 + 3 \cdot 8 = 52$ . The maximal torus in  $\text{Spin}(8)$  remains maximal in  $F_4$ . The roots of  $F_4$  are

- $\pm\lambda_i \pm \lambda_j$  ( $i \neq j$ ) 24 roots of length  $\sqrt{2}$ .
- $\pm\lambda_i$  8 roots of length 1
- $\frac{1}{2}(\pm\lambda_1 \pm \lambda_2 \pm \lambda_3 \pm \lambda_4)$  16 roots of length 1.

The pair  $(F_4, \text{Spin}(9))$  is symmetric so we have a compact Riemannian symmetric space  $Z = F_4/\text{Spin}(9)$  of dimension 16. It is the *Cayley plane*.

### **Proposition ExG4**

$\text{Spin}(9)$  acts transitively on the unit sphere in  $S_{9,\mathbf{R}}$ .

Proof: Exercise.

Given a unit vector  $e' \in \mathbf{R}^9$  we get a decomposition

$$S_{9,\mathbf{R}} = S_{e'}^+ \oplus S_{e'}^-.$$

### Proposition ExG5

*For each unit spinor  $\sigma \in S_{9,\mathbf{R}}$  there is a unique unit vector  $e' \in S^8 \subset \mathbf{R}^9$  such that  $\sigma \in S_{e'}^+$ .*

Proposition ExG4 gives existence. For uniqueness consider a pair of linearly independent unit vectors  $e', e''$  spanning a plane  $\mathbf{R}^2$ . Let  $e_1, e_2$  be an orthonormal basis for this plane. One finds that

$$S_{9,\mathbf{R}} = S_{7,\mathbf{R}} \otimes_{\mathbf{R}} \mathbf{C},$$

where if  $e'_\theta = \cos \theta e_1 + \sin \theta e_2$

$$S_{e'_\theta}^+ = S_{7,\mathbf{R}} \otimes \mathbf{R}e^{i\theta}.$$

This establishes the Proposition.

Define a map  $h : S^{15} \rightarrow S^8$  by  $h(\sigma) = e'$  where  $\sigma \in S_{e'}^+$ .

This map  $h$  is a fibration with fibre  $S^7$ .

Recall that in a symmetric space with tangent space modelled on  $\mathfrak{p}$  the sectional curvature in a pair of orthogonal vectors  $p_1, p_2$  is

$$K(p_1, p_2) = \frac{1}{4} \|[p_1, p_2]\|^2.$$

In our case  $\mathfrak{p} = \mathcal{S}_{9, \mathbb{R}}$ .

For a unit vector  $p_1 = \sigma \in S^+ = S_{e'}^+$ , as above, the orthogonal complement in  $\mathfrak{p}$  is  $S^- \oplus N$  where  $N$  is the orthogonal complement of  $p_1$  in  $S^+$ .

Calculation shows that (after suitable scaling)  $K(p_1, p_2) = 1$  for  $p_2 \in N$  and  $= 1/4$  for  $p_2 \in S^-$ .

For  $r < \pi$  the exponential map  $\exp : S^- \rightarrow Z$  is an embedding on the  $r$ -ball. We get an induced Riemannian metric on the sphere  $S^{15}$ . As  $r \rightarrow \pi$  the metric collapses the fibres of  $h$  and the metric limit is  $S^8$ .

The picture is the same as that for the complex and quaternionic projective planes with the Hopf maps  $S^3 \rightarrow S^2$  and  $S^7 \rightarrow S^4$ .



## Triality, II

We outline another proof of Proposition ExG3 which also sheds light on the symmetries involved. Let  $\Gamma$  be the permutation group on three elements.

### Proposition ExG6

*There is an action of  $\Gamma$  on  $X$  which preserves  $[\ , \ ]$  and which permutes transitively the three summands  $V, S^+, S^-$ .*

Given this, to see that  $\{x, y, z\} = 0$  for  $x, y \in S^+$  and  $z \in S^-$  it is equivalent to see it when  $x, y \in V$  and  $z \in S^+$  (say).

We know the latter by the definition of  $[\ , \ ]$ .

Go back to  $G_2 \subset \text{Spin}(7) \subset \text{Spin}(8)$ .

We have a fixed unit vector  $e \in \mathbf{R}^8$  and spinor  $\sigma_+ \in S^+$ .  
Let  $\sigma_- = \gamma_e(\sigma_+) \in S^-$ . Write  $S_0^+, S_0^-$  for the orthogonal  
complements of  $\sigma_{\pm} \in S^{\pm}$ .

Clifford multiplication  $v \mapsto \gamma_v(\sigma_+)$  defines an isomorphism  
 $\mathbf{R}^7 \rightarrow S_0^+$  and similarly for  $S_0^-$ . Using these isomorphisms,  
Clifford multiplication  $\mathbf{R}^7 \times S^+ \rightarrow S^-$  becomes a cross product

$$\times : \mathbf{R}^7 \times \mathbf{R}^7 \rightarrow \mathbf{R}^7.$$

One way to write this cross product explicitly is to choose a decomposition  $\mathbf{R}^7 = \mathbf{R}e' \oplus \mathbf{C}^3$  as above. The symmetry group of  $\mathbf{C}^3$  is  $SU(3)$ .

- For  $v \in \mathbf{C}^3$ ,  $e' \times v = Iv$ .
- For  $v, w \in \mathbf{C}^3$

$$v \times w = \omega(v, w)e' + v \times_{\mathbf{C}^3} w$$

where,  $\omega$  is the metric 2-form and, in standard co-ordinates,

$$(v \times_{\mathbf{C}^3} w)_i = \sum \epsilon_{ijk} \bar{v}_j \bar{w}_k$$

The *Cayley algebra* (or *Octonion algebra*)  $\mathbf{O}$  is the 8-dimensional non-associative algebra defined from this cross product in the same way as the quaternion algebra is defined from the usual cross product on  $\mathbf{R}^3$ .

When the symmetry group is restricted to  $G_2$ , each of  $\mathbf{R}^8$ ,  $S^+$ ,  $S^-$  can be identified with  $\mathbf{O}$ . (More precisely, with an algebra isomorphic to  $\mathbf{O}$ .)

We also have a skew symmetric map  $*$  :  $\mathbf{R}^7 \times \mathbf{R}^7 \rightarrow \mathfrak{g}_2$  defined using the action, as we have seen before.

We have  $\mathfrak{so}(8) = \mathfrak{so}(7) \oplus \mathbf{R}_2^7$  and  $\mathfrak{so}(7) = \mathfrak{g}_2 \oplus \mathbf{R}_1^7$  so

$$\mathfrak{so}(8) = \mathfrak{g}_2 \oplus \mathbf{R}_1^7 \oplus \mathbf{R}_2^7. \quad (*****)$$

where  $\mathbf{R}_i^7$  are copies of the standard representation and the equality is as representations of  $\mathfrak{g}_2$ .

Write (\*\*\*\*\*) as

$$\mathfrak{so}(8) = \mathfrak{g}_2 \oplus \mathbf{R}^7 \otimes \Pi \quad (*****)$$

where  $\Pi$  is a 2-dimensional Euclidean space with an orthonormal basis  $n_1, n_2$  corresponding to the factors in (\*\*\*\*\*) .

The component of the bracket in  $\mathfrak{so}(8)$  mapping  $\mathbf{R}^7 \otimes \Pi \times \mathbf{R}^7 \otimes \Pi$  to  $\mathfrak{g}_2$  is the tensor product of the symmetric inner product on  $\Pi$  and the skew-symmetric  $*$  :  $\mathbf{R}^7 \times \mathbf{R}^7 \rightarrow \mathfrak{g}_2$ .

We know that the component of  $[ , ]$  from  $\mathbf{R}_2^7 \times \mathbf{R}_2^7$  to  $\mathbf{R}_2^7$  vanishes. Similarly for the component  $\mathbf{R}_1^7 \times \mathbf{R}_1^7 \rightarrow \mathbf{R}_2^7$ .  
Let  $\circ : \Pi \times \Pi \rightarrow \Pi$  be the symmetric bilinear map defined by

$$n_1 \circ n_1 = n_1, \quad n_2 \circ n_2 = -n_1, \quad n_1 \circ n_2 = -n_2.$$

Then some calculation shows that the component of  $[ , ]$  mapping  $\Pi \otimes \mathbf{R}^7 \times \Pi \otimes \mathbf{R}^7$  to  $\Pi \otimes \mathbf{R}^7$  is the tensor product of  $\circ$  and  $\times$ .

Hence any linear map  $A : \Pi \rightarrow \Pi$  which preserves the inner product and  $\circ$  defines an automorphism of  $\mathfrak{so}(8)$ , equal to the identity on  $\mathfrak{g}_2$ .

Let  $f(x, y)$  be a homogeneous polynomial on  $\mathbf{R}^2$  of degree 3. Then the second derivatives of  $f$  are linear functions which are identified with points in  $\mathbf{R}^2$  using the Euclidean structure. So  $f$  defines a symmetric bilinear map  $\circ_f : \mathbf{R}^2 \times \mathbf{R}^2 \rightarrow \mathbf{R}^2$ .

Let

$$f(x, y) = \frac{1}{6} (x^3 - 3xy^2).$$

So  $f_{xx} = x$ ,  $f_{xy} = -y$ ,  $f_{yy} = -x$ . Then  $\circ_f$  agrees with  $\circ$  if we identify  $n_1, n_2$  with the standard basis elements.



If  $z = x + iy$  then

$$f(x, y) = \frac{1}{6} \operatorname{Re}(z^3),$$

which is clearly preserved by a Euclidean action of the group  $\Gamma$ .

Now write

$$X = \mathfrak{g}_2 \oplus (\Pi \otimes \mathbf{R}^7) \oplus (\mathbf{O} \otimes \mathbf{R}^3),$$

and let  $e_i$  be the standard basis in  $\mathbf{R}^3$ . We have a skew-symmetric map

$$\mathbf{O} \otimes \mathbf{R}^3 \times \mathbf{O} \otimes \mathbf{R}^3 \rightarrow \mathbf{O} \otimes \mathbf{R}^3$$

defined by

$$((Z_1, Z_2, Z_3), (W_1, W_2, W_3)) \mapsto$$

$$(Z_2 W_3 - W_2 Z_3, Z_3 W_1 - W_3 Z_1, Z_1 W_2 - W_1 Z_2).$$

We also have a skew symmetric map

$$\mathbf{O} \otimes \mathbf{R}^3 \times \mathbf{O} \otimes \mathbf{R}^3 \rightarrow \mathbf{R}^7 \otimes \mathbf{R}^3$$

defined by

$$((Z_1, Z_2, Z_3), (W_1, W_2, W_3)) \mapsto \text{Im}(Z_1 \overline{W}_1, Z_2 \overline{W}_2, Z_3 \overline{W}_3).$$

Compose with the  $\Gamma$ -equivariant projection map  $\mathbf{R}^3 \rightarrow \Pi$  taking  $e_1$  to  $n_2$  to get

$$\mathbf{O} \otimes \mathbf{R}^3 \times \mathbf{O} \otimes \mathbf{R}^3 \rightarrow \mathbf{R}^7 \otimes \Pi.$$

Finally, we have our usual map

$$\mathbf{O} \otimes \mathbf{R}^3 \times \mathbf{O} \otimes \mathbf{R}^3 \rightarrow \mathfrak{g}_2,$$

defined using  $*$  on  $\mathbf{R}^7 = \text{Im}\mathbf{O}$ .

Putting these together, we get a bracket on  $X$  which is preserved by the action of the group  $\Gamma$ .

Now one has to check that this agrees with the bracket we defined before.

The group  $F_4$  is the (connected) isometry group of the Riemannian manifold,  $Z$ , the Cayley plane. The subgroup  $\text{Spin}(8)$  fixes a triangle in  $Z$  with vertices  $p_1, p_2, p_3$  say. The subgroup  $\text{Spin}(9)$  is the stabiliser of  $p_1$ . In our construction there are two other copies of  $\text{Spin}(9)$  visible in  $F_4$ . These are the stabilisers of  $p_2, p_3$  so all three subgroups in  $F_4$  are conjugate. The triality outer automorphisms of  $\text{Spin}(8)$  are induced by inner automorphisms of  $F_4$ .

There is an analogous situation for the complex projective plane  $\mathbf{CP}^2 = SU(3)/U(2)$ . Let  $T^2$  be the maximal torus in  $U(2)$ . Then

$$\mathfrak{u}(2) = \mathfrak{t}_2 \oplus \mathbf{C},$$

while

$$\mathfrak{su}(3) = \mathfrak{u}(2) \oplus \mathbf{C}^2,$$

so

$$\mathfrak{su}(3) = \mathfrak{t}_2 \oplus \mathbf{C} \oplus \mathbf{C} \oplus \mathbf{C}.$$

The Weyl group of  $SU(3)$  acts on  $\mathfrak{t}_2$  and acts on the other three factors by permutation. We get three copies of  $U(2)$  in  $SU(3)$  which are the the stabilisers of the three vertices of a triangle.

For the quaternionic projective plane  
 $\mathbf{HP}^2 = Sp(3)/Sp(2) \times Sp(1)$  we have

$$\mathfrak{sp}(3) = \mathfrak{g} \oplus \mathbf{R}^4 \oplus \mathbf{R}^4 \oplus \mathbf{R}^4$$

where  $G = Sp(1) \times Sp(1) \times Sp(1)$ .

From these descriptions we see isometric embeddings  
 $\mathbf{CP}^2 \subset \mathbf{HP}^2 \subset Z$  (and we could start with  $\mathbf{RP}^2 \subset \mathbf{CP}^2$ ).

$E_8$

We can use a similar approach to build the Lie algebra of the exceptional Lie group  $E_8$ . Start with two copies of  $\mathfrak{so}(8)$ . We have

$$\mathfrak{so}(16) = \mathfrak{so}(8)_1 \oplus \mathfrak{so}(8)_2 \oplus V_1 \otimes V_2$$

where  $V_1, V_2$  are the fundamental 8-dimensional representations. This is a symmetric decomposition (with associated symmetric space the Grassmanian of 8-planes in  $\mathbf{R}^{16}$ ).

Now consider the real positive spin representation  $\mathcal{S}_{16, \mathbf{R}}^+$  of  $\mathfrak{so}(16)$ . This has dimension 128. We can write it as

$$\mathcal{S}_{16, \mathbf{R}}^+ = \mathcal{S}_1^+ \otimes \mathcal{S}_2^+ \oplus \mathcal{S}_1^- \otimes \mathcal{S}_2^-$$

where  $\mathcal{S}_i^\pm$  are the 8-dimensional real representations of  $\mathfrak{so}(8)_i$ .

Proceeding as before, we define a bracket on

$$X = \mathfrak{so}(8)_1 \oplus \mathfrak{so}(8)_2 \oplus V_1 \otimes V_2 \oplus S_1^+ \otimes S_2^+ \oplus S_1^- \otimes S_2^-.$$

Just as before, we can use triality to show that the Jacobi identity is satisfied, moving calculations into  $\mathfrak{so}(16)$ , which we understand.

### **Proposition ExG7**

*There is a compact connected Lie group  $E_8$  of dimension 248 with Lie algebra  $X$  and a symmetric space  $E_8/\text{Spin}(16)$  of dimension 128.*

The group  $E_8$  has subgroups the other two exceptional Lie groups  $E_6, E_7$ .



## Section 7: Unitary representations of $SL(2, \mathbf{R})$ .

The study of infinite-dimensional unitary representations of non-compact Lie groups is a huge area.

Questions of *analysis* become important.

Two features.

(a) We cannot always decompose representations as direct sums, instead we need integrals. For example consider the group  $(\mathbf{R}, +)$ . The irreducible representations are 1-dimensional  $\rho_\xi(x) = e^{i\xi x}$ . The Hilbert space  $L^2(\mathbf{R})$  is an infinite-dimensional representation and is decomposed as a direct integral of 1-dimensional representations via the Fourier transform

$$f(x) = (2\pi)^{-1/2} \int \hat{f}(\xi) e^{i\xi x} d\xi.$$

However the functions  $e^{i\xi x}$  are not in  $L^2$ .

(b) We cannot pass so easily between Lie group representations and Lie algebra representations. For example  $\mathbf{R}$  acts on itself by translation and hence on the functions on  $\mathbf{R}$ . The derivative of the action is  $D = \frac{d}{dx}$ . The formula

$$\exp t(D) = 1 + tD + \frac{t^2 D^2}{2} + \dots,$$

becomes the Taylor series formula

$$f(x + t) = f(x) + tf'(x) + \frac{t^2}{2} f''(x) + \dots$$

which holds (for small  $t$ ) only if  $f$  is real analytic.

Similarly, we cannot always complexify actions. For example, taking the complex valued functions on  $\mathbf{R}$ , a complexification of the  $\mathbf{R}$  action would involve solving the Cauchy-Riemann equation

$$\frac{\partial f}{\partial \tau} = i \frac{\partial f}{\partial t},$$

with given initial condition at  $\tau = 0$ . This is not a well-posed PDE problem.

In our short discussion we largely avoid questions of analysis.  
Our aim is to:

- Define some unitary representations of the group  $SL(2, \mathbf{R})$ ;
- Make it at least plausible that this a list of all irreducible representations (Bargmann classification, 1947).
- Discuss some interesting geometry related to these representations.

## Definitions

Let  $G$  be a Lie group. A *unitary representation* of  $G$  is a representation  $\rho : G \rightarrow U(V)$ , where  $V$  is a complex Hilbert space, such that for each  $v \in V$  the map  $g \mapsto \rho(g)v$  is continuous.

A unitary representation is *irreducible* if there are no non-trivial closed  $G$ -invariant subspaces.

We only consider  $G = SL(2, \mathbf{R})$ . Recall that this is isomorphic to  $SU(1, 1)$  and  $\text{Spin}(2, 1)$  (the double cover of  $SO(2, 1)$ ).

The maximal compact subgroup is  $K = S^1$  and  $G/K$  is the hyperbolic plane  $\mathcal{H}$ .

The isomorphism  $SL(2, \mathbf{R}) = SU(1, 1)$  is reflected in the upper half-plane and disc models of hyperbolic geometry.

## Lie algebra discussion.

Recall that the Lie algebra  $\mathfrak{su}(1, 1)$  of  $SU(1, 1)$  is the set of matrices

$$\begin{pmatrix} ia & \alpha \\ \bar{\alpha} & -ia \end{pmatrix}$$

with  $a \in \mathbf{R}, \alpha \in \mathbf{C}$ . The complexification is  $\mathfrak{sl}(2, \mathbf{C})$  in which we have our standard basis:

$$H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

Let

$$X = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad Y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

$$[H, X] = 2X, [H, Y] = -2Y, [X, Y] = H. \quad (***)$$

We want to analyse the possibilities for the following data:

- 1 a collection of finite-dimensional complex vector spaces  $V_k$  for  $k \in \mathbf{Z}$ . Let  $\underline{V}$  be the space (possibly infinite dimensional) of all *finite sums*

$$\underline{V} = \bigoplus V_k.$$

- 2 An action of  $\mathfrak{sl}(2, \mathbf{C})$  on  $\underline{V}$  such that  $H$  acts with weight  $k$  on  $V_k$ .
- 3  $\underline{V}$  is irreducible, in the sense that there is no  $\mathfrak{sl}(2, \mathbf{C})$  invariant proper subspace.
- 4 Hermitian structures on the  $V_k$  such that the subalgebra  $\mathfrak{su}(1, 1)$  maps to skew-adjoint operators on  $\underline{V}$  (with the inner products between the  $V_k$  set to zero).

**Remark:** There is an important theorem which states that any irreducible unitary representation of  $SU(1, 1)$  contains a dense subspace of the form  $\underline{V}$ , as above.



Arguing on familiar lines one sees that conditions (1),(2),(3) require

- $\dim V_k \leq 1$  (to see this use the *Casimir operator*, see below).
- The  $k$  for which  $V_k \neq 0$  are either all even or all odd.

Still using (1),(2),(3) one finds that there are five possibilities.

- I  $\underline{V}$  is finite-dimensional.
- II  $V_k \neq 0$  for all even  $k$ .
- III  $V_k \neq 0$  for all odd  $k$ .
- IV There is an  $l \geq 0$  such that  $V_k \neq 0$  for  $k \geq l$  and  $k = l \pmod{2}$ .
- V There is an  $l \leq 0$  such that  $V_k \neq 0$  for  $k \leq l$  and  $k = l \pmod{2}$ .

We have already analysed case (I) and the spaces do not satisfy condition (4) so we ignore it.

Now set  $\xi = X + Y, \eta = i(X - Y)$  so  $iH, \xi, \eta$  is a basis for  $\mathfrak{su}(1, 1)$ .

Consider first case (IV). Suppose  $I = 0$  and choose a basis element  $v_0 \in V_0$ . Since  $[X, Y]v_0 = 0$  we have  $YXv_0 = 0$  and  $\xi Xv_0 = X^2v_0$  so for any choice of norms  $\langle \xi Xv_0, v_0 \rangle = 0$  but

$$\langle Xv_0, \xi v_0 \rangle = |Xv_0|^2.$$

So the action of  $\xi$  cannot be skew-adjoint and we conclude that  $I = 0$  is impossible.

Still in case (IV), suppose  $l = 1$  and choose a unit-norm basis element  $v_1 \in V_1$ . The  $X^j v_1$  for  $j \geq 0$  form a basis for  $\underline{V}$ .

We have  $-YXv_1 = [X, Y]v_1 = 2v_1$  so

$$\langle \xi Xv_1, v_1 \rangle = \langle (X^2 + YX)v_1, v_1 \rangle = -2|v_1|^2,$$

and the skew adjoint condition gives

$$|Xv_1|^2 = 2|v_1|^2 = 2.$$

Continuing in the same way one finds that for each  $j$  the norm of  $X^j v_1$  is fixed by condition (4) and conversely the norms so determined satisfy (4).

Similarly, in case (IV) for each  $l \geq 1$  and in case (V) for each  $l \leq -1$ , there is a unique irreducible Hermitian Lie algebra representation  $\underline{V}$ . The representations for  $l, -l$  are complex conjugate.

Now consider case (II) and choose a unit-norm vector  $e \in V_0$ . Define  $\lambda \in \mathbf{C}$  by  $YXe = -\lambda e$ . Then one sees by induction that for  $k \geq 1$   $YX^k e = -\lambda_k X^{k-1} e$  with

$$\lambda_k = \lambda + 2(1 + \cdots + (k-1)) = \lambda + k(k-1).$$

Suppose we have a compatible norm with  $|X^k e|^2 = h_k$ . Then

$$\langle \xi X^k e, X^{k-1} e \rangle = -\langle X^k e, \xi X^{k-1} e \rangle = -\langle X^k e, \xi X^k e \rangle = -h_k.$$

On the other hand

$$\langle \xi X^k e, X^{k-1} e \rangle = \langle YX^k e, X^{k-1} e \rangle = -\lambda_k |X^{k-1} e|^2 = -\lambda_k h_{k-1}.$$

So we need  $\lambda_k$  to be real and positive for all  $k$  i.e.  $\lambda > 0$ .

Conversely, for any  $\lambda > 0$  there is a unique irreducible Hermitian Lie algebra representation  $\underline{V}$  of type (II).

There is a similar discussion for case (III), with the exception that for one value of the parameter we get a reducible representation, the sum of those of type (IV),(V) with  $l = \pm 1$ .

## Construction of representations

*The induced representation construction.*

In general let  $G$  be a Lie group and  $H \subset G$  a subgroup. Let  $\sigma$  be a representation of  $H$  on a vector space  $W$  and  $M = G/H$ .

Regarding  $G \rightarrow M$  as a principle  $H$ -bundle we get an associated vector bundle  $E_\sigma \rightarrow M$  with fibre  $W$ . This is a  $G$  equivariant bundle so  $G$  acts on the space of sections of  $E$ .

Depending on the context, we can consider sections of various kinds ( continuous, smooth, holomorphic, ... ). We call these induced representations.

For example if  $G$  is compact and  $T \subset G$  is a maximal torus then we have seen that all irreducible representations of  $G$  are obtained as induced from 1-dimensional representation of  $T$ , restricting to *holomorphic* sections over the complex manifold  $M$ .

The representations of  $SL(2, \mathbf{R})$  are all induced representations with the subgroups  $SO(2) \subset SL(2, \mathbf{R})$  and  $P$ , the matrices

$$\begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix},$$

with  $a \neq 0$ .

In the first case the construction is similar to that in the case of compact groups. It is convenient to work with  $S^1 \subset SU(1, 1)$  so  $G/S^1$  is the disc model of the hyperbolic plane  $\mathcal{H}$ . The line bundles associated to the representations of  $S^1$  are the fractional powers  $K^{m/2}$  of the canonical bundle  $K = T^*\mathcal{H}$ . We have the  $SU(1, 1)$ -invariant Poincaré metric on  $\mathcal{H}$ :

$$ds^2 = \frac{1}{(1 - |z|^2)^2} (dx^2 + dy^2).$$

## Definition

For  $m \geq 2$ ,  $D_m$  is the space of  $L^2$  holomorphic sections of  $K^{m/2}$  where the  $L^2$  norm is the standard one defined by the Poincaré metric.

Explicitly,  $D_m$  can be regarded as the expressions  $f(z)dz^{m/2}$  on the disc with  $f$  holomorphic and

$$\int |f|^2(1 - |z|^2)^{m-2} < \infty.$$

The element  $e^{i\theta}$  in  $S^1 \subset SU(1, 1)$  acts on the disc by  $z \mapsto e^{2i\theta}z$ . So it acts on  $z^a dz^{m/2}$  as multiplication by  $e^{ik\theta}$  with  $k = m + 2a$ . This gives the *discrete series* representations corresponding to case (II) with  $l = m \geq 2$ .



For case (III) we take complex conjugates, expressions  $f(\bar{z})d\bar{z}^{m/2}$ , to get representations  $\bar{D}_m$ .

The obvious definition of  $D_m$  does not work if we put  $m = 1$ . We will return to that case below.

Now go back to  $G = SL(2, \mathbf{R})$  and the subgroup  $P$ . Then  $G/P$  is the real projective line  $\mathbf{RP}^1 = \mathbf{R} \cup \{\infty\}$ .

For any  $\zeta \in \mathbf{C}$  we have a representation of  $P \rightarrow \mathbf{C}^*$  defined by

$$\rho_\zeta \left( \begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix} \right) = |a|^{2\zeta}.$$

This defines a complex line bundle  $\Lambda_\zeta \rightarrow \mathbf{RP}^1$ .

If  $\zeta = 1/2 + is$ , with  $s \in \mathbf{R}$ , there is an invariant  $L^2$  norm on the sections of  $\Lambda_\zeta$ .

To see this recall that over any manifold  $M$  there is a bundle  $\mathcal{D}$  of *densities*. This is a bundle with fibre  $\mathbf{R}$  and structure group  $\mathbf{R}^+$  acting by multiplication. In terms of a covering of  $M$  by co-ordinate charts, the transition functions for  $\mathcal{D}$  are given by the absolute values of the Jacobians of the co-ordinate change maps. A section of  $\mathcal{D}$  defines a measure on  $M$ .

For any  $\eta \in \mathbf{C}$  we can form the complex power  $\mathcal{D}^\eta$ .

Recall from algebraic geometry that if  $E$  is a 2-dimensional vector space with a fixed volume element in  $\Lambda^2 E$  there is a canonical isomorphism  $T^*\mathbf{P}(E) = \mathcal{O}(-2)$ . In our situation this means that  $\Lambda_{1/2} = \mathcal{D}^{1/2}$  and  $\Lambda_\zeta = \mathcal{D}^\zeta$ . Explicitly we can write a section of  $\Lambda_\zeta$  in terms of an affine coordinate  $x$  as

$$f(x) |dx|^\zeta.$$

We have  $\Lambda_{\bar{\zeta}} = \overline{\Lambda_\zeta}$ . If  $s_1, s_2$  are sections of  $\Lambda_\zeta$  then  $s_1 \overline{s_2}$  is a section of  $\Lambda_{(\zeta + \bar{\zeta})}$  which is  $\mathcal{D}$  if  $\zeta = 1/2 + is/2$ . Thus

$$\int_{\mathbf{RP}^1} s_1 \overline{s_2}$$

is well-defined.

## Definition

The principle series representation  $P_s$  of  $SL(2, \mathbf{R})$  is that on  $L^2$  sections of  $\Lambda_\zeta \rightarrow \mathbf{RP}^1$ , with  $\zeta = 1/2 + is/2$ .

From another point of view we could regard  $P_s$  as  $L^2(\mathbf{R})$  but with action

$$(A^{-1}f)(x) = |cx + d|^{-(1+is)} f\left(\frac{ax + b}{cx + d}\right)$$

where  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ .

We have other representations  $P \rightarrow \mathbf{C}^*$  given by

$$\rho_{\zeta}^{-} \left( \begin{array}{cc} a & b \\ 0 & a^{-1} \end{array} \right) = \text{sgn}(a) |a|^{2\zeta}.$$

The resulting line bundle over  $\mathbf{RP}^1$  is  $\Lambda_{\zeta} \otimes_{\mathbf{R}} \Lambda^{-}$  where  $\Lambda^{-}$  is the Möbius band line bundle with structure group  $\pm 1$ .

Proceeding in just the same way we get another principle series  $P_s^{-}$

**Example** There is an obvious unitary representation of  $SL(2, \mathbf{R})$  on  $L^2(\mathbf{R}^2)$ . We claim that

$$L^2(\mathbf{R}^2) = \int_{-\infty}^{\infty} P_s ds \oplus \int_{-\infty}^{\infty} P_s^- ds \quad (**)$$

where the two summands correspond to even/odd functions on  $\mathbf{R}^2$ .

Take standard polar co-ordinates  $(r, \theta)$  on  $\mathbf{R}^2$  and set  $r = e^{t/2}$ . Then

$$\|f\|_{L^2(\mathbf{R}^2)} = \frac{1}{2} \int_{-\infty}^{\infty} e^t |f(t, \theta)|^2 dt d\theta.$$

The map  $f \mapsto r^{1/2}f$  defines an equivalence

$$L^2(\mathbf{R}^2) = L^2(\mathbf{R} \times S^1).$$

Take the Fourier transform in the  $\mathbf{R}$  variable. This gives a representation, reverting to the  $r$  variable:

$$f(r, \theta) = r^{-1/2} \int_{s=-\infty}^{\infty} \tilde{f}(s, \theta) r^{-is/2} = \int_{-\infty}^{\infty} \tilde{f}(s, \theta) r^{-(1/2+is/2)}.$$

The circle here is the double cover of  $\mathbf{RP}^1$ . We can represent  $\tilde{f}(s, \theta)$  as a sum  $\tilde{f}_s^+ + \tilde{f}_s^-$  where  $\tilde{f}^\pm$  are sections of the trivial bundle and  $\Lambda^- \otimes \mathbf{C}$  respectively over  $\mathbf{RP}^1$ .

The above construction is manifestly  $SO(2)$  invariant. When the symmetry group is restricted to  $SO(2)$  we have an invariant volume form on  $\mathbf{RP}^1$  so the bundles  $\Lambda_\zeta$  are trivialised. So we can choose to interpret  $\tilde{f}_s^\pm$  as sections of  $\Lambda_\zeta, \Lambda_\zeta \otimes \Lambda^-$  respectively. The point is that with this interpretation the construction is  $SL(2, \mathbf{R})$ -invariant and this gives (\*\*).



Recall that the invariant  $\lambda$  of a Lie algebra representation of type (II) is defined by  $YX = -\lambda e$  where  $e$  is a basis element in  $V_0$ .

### Proposition

For the representation  $P_s$  the invariant is  $\lambda = \zeta - \zeta^2$  where  $\zeta = \frac{1}{2}(1 + is)$ .

Consider  $\mathbf{RP}^1$  as the unit circle in  $\mathbf{C}$ . Then one finds that  $\xi, \eta \in \mathfrak{su}(1, 1)$  correspond to the vector fields

$$2 \cos \theta \partial_\theta \quad , \quad 2 \sin \theta \partial_\theta$$

respectively on the circle.

The diffeomorphisms of the circle act on the sections of the bundle  $\Lambda_\zeta$  so there is a Lie derivative. For a vector field  $v = a(\theta)\partial_\theta$  and section  $s = f(\theta)|d\theta|^\zeta$  the formula is

$$L_v(s) = \left( a \frac{df}{d\theta} + \sigma f \frac{da}{d\theta} \right) |d\theta|^\zeta.$$

To simplify notation write  $f(\theta)|d\theta|^\zeta$  as  $f(\theta)$ . Then

$$\xi(f) = 2 \left( \cos \theta \frac{df}{d\theta} - \zeta f \sin \theta \right)$$

and

$$\eta(f) = 2 \left( \sin \theta \frac{df}{d\theta} + \zeta f \cos \theta \right).$$

Now  $X = (\xi + i\eta)/2$  and  $Y = (\xi - i\eta)/2$  so

$$X(f) = e^{-i\theta} \left( \frac{df}{d\theta} - i\zeta f \right) \quad Y(f) = e^{i\theta} \left( \frac{df}{d\theta} + i\zeta f \right).$$

In this notation the element  $e$  is the constant function  $f = 1$ . We find

$$YX(1) = \zeta^2 - \zeta$$

so  $\lambda = \zeta - \zeta^2$ .

Write  $\zeta - \zeta^2 = \frac{1}{4} - (\zeta - 1/2)^2$ . If  $\zeta = \frac{1}{2}(1 + is)$  with  $s$  real we get all values  $\lambda \geq 1/4$ .

If  $\zeta = 1/2 + \sigma/2$  with  $\sigma$  real and  $0 < \sigma < 1$  we also have  $\lambda$  real. The corresponding representations  $C_\sigma$  form the *complimentary series*. Now it is less obvious how to define an  $SL(2, \mathbf{R})$  invariant norm.

In algebro-geometric language the diagonal in  $\mathbf{P}^1 \times \mathbf{P}^1$  is a divisor in the linear system  $\mathcal{O}(1, 1)$ . There is an  $SL_2$  invariant section of  $\mathcal{O}(-2, -2)$  with a double pole on the diagonal. Identifying  $\mathcal{O}(1)$  with  $K^{-1/2}$  and using affine coordinates this is

$$\Gamma = (x_1 - x_2)^{-2} dx_1 dx_2.$$

Then for any  $\eta$  we have an  $SL(2, \mathbf{R})$ -invariant object

$$|\Gamma|^\eta = |x_1 - x_2|^{-2\eta} |dx_1|^\eta |dx_2|^\eta.$$

Now for  $s_1, s_2$  sections of  $\Lambda_{1/2+\sigma/2}$ , for  $\sigma$  as above, we define a pairing  $\langle s_1, s_2 \rangle$  (linear in the first factor and antilinear in the second) as follows. If  $s_1 = f|dx|^{1/2+\sigma/2}$ ,  $s_2 = g|dx|^{1/2+\sigma/2}$

$$\langle s_1, s_2 \rangle = \int \int f(x_1) \bar{g}(x_2) |dx_1|^{1/2+\sigma/2} |dx_2|^{1/2+\sigma/2} |\Gamma|^{(1/2-\sigma/2)}.$$

That is:

$$\int \int f(x_1) \bar{g}(x_2) \frac{1}{|x_1 - x_2|^{\sigma-1}} dx_1 dx_2.$$

The integral is defined initially for smooth sections. The fact that this defines a norm can be proved using Fourier Transforms. The representation  $C_\sigma$  is defined to be the Hilbert space completion.

A similar construction gives an operator defining an isomorphism  $P_{-s}^\pm = \overline{P_s^\pm}$ .

Go back to  $D_1$ .

There is a well-defined restriction map from sections of  $K^{1/2}$  (defined initially over a slightly larger disc) to sections of  $\Lambda_{1/2}^-$ . For any curve  $\gamma(t)$  the map is defined locally by

$$f(z)dz^{1/2} \mapsto f(\gamma(t))\sqrt{|\gamma'(t)|}dt^{1/2}.$$

Going around the circle the square root changes sign so we map to  $\Lambda_{1/2}^-$ .

The representation  $D_1$  is defined to be the completion of the half-forms holomorphic on a slightly larger disc, using the norm of the boundary value in  $P_0^-$ .

This also defines an invariant subspace  $D_1 \subset P_0^-$  and in fact

$$P_0^- = D_1 \oplus \overline{D_1}.$$

**Theorem** (Bargmann)

*The irreducible unitary representations of  $SL(2, \mathbf{R})$  are:*

- *The principal series  $P_s$  for  $s \geq 0$ ;*
- *The odd principal series  $P_s^-$  for  $s > 0$ ;*
- *The discrete series  $D_n, \bar{D}_n$  ( $n \geq 2$ );*
- *The “mock” discrete series  $D_1, \bar{D}_1$ ;*
- *The complimentary series  $C_\sigma$  ( $0 < \sigma < 1$ )*

*and these are all distinct.*

## Remarks

- There are some connections between these representations and the co-adjoint orbits of  $SL(2, \mathbf{R})$ , but not as straightforward as for compact groups.
- The constructions easily extend to certain other groups. For example  $SU(n, 1)$  acts on the unit ball in  $\mathbf{C}^n$  and we can consider  $L^2$  holomorphic forms.

## Section 8: Eigenfunctions and the Selberg Trace formula

In the upper half space model, the Laplace operator on  $\mathcal{H}$  is

$$\Delta\phi = -y^2(\phi_{xx} + \phi_{yy}).$$

If  $\phi = y^\zeta$  then  $\Delta\phi = \lambda\phi$  with  $\lambda = \zeta - \zeta^2$ . Applying the map  $z \mapsto -z^{-1}$  we get another eigenfunction

$$\left(\frac{y}{x^2 + y^2}\right)^\zeta.$$

For a function  $f$  on  $\mathbf{R}$  we define a function  $\phi_f$  on  $\mathcal{H}$

$$\phi_f(x, y) = \int_{-\infty}^{\infty} \left(\frac{y}{(x - x')^2 + y^2}\right)^\zeta f(x') dx',$$

which is an eigenfunction, with the same  $\lambda$ .



**Proposition** *The map taking sections of  $\Lambda_\zeta$  to functions on  $\mathcal{H}$  defined by  $f(x)|dx|^\zeta \mapsto \phi_f$  is  $SL(2, \mathbf{R})$  equivariant.*

Thus we can regard the principle series  $P_\zeta$  and complimentary series  $C_\zeta$  as spaces of eigenfunctions on  $\mathcal{H}$ .

For another point of view, use the model of  $\mathcal{H}$  as one sheet  $x_0 > 0$  of the hyperboloid  $q(x_1, x_2, x_3) = 1$  where  $q = x_0^2 - x_1^2 - x_2^2$ . Suppose that  $F$  is a solution of the wave equation

$$\left(\partial_0^2 - \partial_1^2 - \partial_2^2\right) F = 0$$

on the positive cone which is homogeneous of degree  $\zeta$  i.e.

$$F(\rho \underline{x}) = \rho^\zeta F(\underline{x})$$

for  $\rho > 0$ , then the restriction of  $F$  to  $\mathcal{H}$  is an eigenfunction of the Laplacian with eigenvalue  $\zeta(\zeta - 1) = \zeta^2 - \zeta$ .

Let  $n$  be a null vector for the quadratic form  $q$  and  $h_n(x) = (x, n)$  for the symmetric form  $(\ , \ )$  corresponding to  $q$ . Then for any  $f$  the function  $F(x) = f(h_n(x))$  is a solution of the wave equation. This is just the fact that in  $1 + 1$  dimensions any function  $f(x - t)$  satisfies the wave equation.

If  $n$  is in the component  $x_0 > 0$  of the null cone then  $h_n$  is positive on the positive cone and the function  $h_n^\zeta$  yields an eigenfunction on  $\mathcal{H}$ .

This makes it clear that there is an equivariant map from sections of  $\Lambda_\zeta$  to eigenfunctions.

For a third point of view we recall the notion of the Casimir operator.

Suppose  $\mathfrak{g}$  is a Lie algebra with a nondegenerate symmetric form. In a basis  $e_\alpha$  of  $\mathfrak{g}$  write  $g_{\alpha\beta} = \langle e_\alpha, e_\beta \rangle$ . Let  $(g^{\alpha\beta})$  be the inverse matrix. Given a representation of  $\mathfrak{g}$  on a vector space  $V$  the Casimir operator  $C : V \rightarrow V$  is

$$\sum_{\alpha\beta} g^{\alpha\beta} e_\alpha \circ e_\beta,$$

where  $\circ$  is the composition in  $\text{End } V$ .

This has the property that it commutes with action of  $\mathfrak{g}$  so on an irreducible representation it must be constant.

In the case of  $\mathfrak{sl}(2, \mathbf{C})$  the Casimir operator is

$$-(1/2)(H^2 + XY + YX).$$

Restricted to  $\mathfrak{su}(1, 1)$  we can express this as

$$-\frac{1}{2}(\xi^2 + \eta^2 - h^2)$$

where  $h = iH$ .

Going back to our Lie algebra discussion we see that the scalar invariant  $\lambda$  for type II is given by the Casimir operator. Thus on sections of  $\Lambda_\zeta$  it acts as  $\zeta - \zeta^2$ .

One sees also that, acting on functions on  $\mathcal{H}$ , the Casimir operator is the Laplace operator  $\Delta$ .

Sections of  $\Lambda_\zeta \rightarrow \mathbf{RP}^1$  can be regarded as functions on  $G = SL(2, \mathbf{R})$  which transform appropriately under the right action of the subgroup  $P$ . We also have the right action of the circle  $K$ . Integrating over the  $K$ -orbits gives a map  $C^\infty(G) \rightarrow C^\infty(G/K)$ .

Putting these together we get a  $G$ -equivariant map

$$I : \Gamma(\Lambda_\zeta) \rightarrow C^\infty(G/K) = C^\infty(\mathcal{H}).$$

This must be compatible with the Casimir operators so we see that for any section  $s$  of  $\Lambda_\zeta$  the function  $I(s)$  is an eigenfunction of the Laplacian on  $\mathcal{H}$  with eigenvalue  $\zeta - \zeta^2$ .

## Some Harmonic analysis on $\mathcal{H}$ .

### Recall

An  $L^1$  function  $K(x)$  on  $\mathbf{R}^n$  defines a convolution operator  $T_K$ . Under Fourier transforms this goes over to a multiplication operator by  $\hat{K}(\xi)$ . If  $K$  is a function of  $r = |x|$  then  $\hat{K}$  is a function of  $\rho = |\xi|$ . If  $f$  satisfies  $\Delta_{\mathbf{R}^n} f = \rho^2 f$  then

$$T_K(f) = \hat{K}(\rho)f.$$

We want the analogous theory for functions on  $\mathcal{H}$ .

Let  $k$  be a function on  $\mathbf{R}^+$  with suitable decay at infinity. For  $x, y \in \mathcal{H}$ , let  $\underline{k}(x, y) = k(d(x, y))$  where  $d(x, y)$  is the distance in  $\mathcal{H}$ .

Define  $T_k$  on functions on  $\mathcal{H}$  by

$$T_k(f)(x) = \int_{\mathcal{H}} \underline{k}(x, y) f(y) dy.$$

### Proposition

*For each  $\lambda$  there is a  $P(\lambda)$  such that if  $f$  satisfies  $\Delta f = \lambda f$  then  $T_k(f) = P(\lambda)f$ .*

The map taking the function  $k$  to the function  $P$  is the analogue of the Fourier transform on rotationally invariant functions.



To prove the Proposition pick a base point  $x_0$  in  $\mathcal{H}$  with isotropy group  $S^1 \subset SU(1, 1)$ . By ODE theory, for any  $\lambda$  there is a unique smooth  $S^1$ -invariant solution  $F_\lambda$  to  $\Delta F_\lambda = \lambda F$  with  $F_\lambda(x_0) = 1$ . Define

$$P(\lambda) = T_k(F_\lambda)(x_0).$$

Now let  $f$  be any function with  $\Delta f = \lambda f$ . Let  $\underline{f}$  be obtained by averaging  $f$  over rotations by  $S^1$ . It is clear that

$$T_k(f)(x_0) = T_k(\underline{f})(x_0).$$

On the other hand  $\underline{f}$  must be a multiple of  $F_\lambda$  so

$$\underline{f} = f(x_0)F_\lambda.$$

Then  $T_k(f)(x_0) = f(x_0) T_k(F_\lambda)(x_0) = P(\lambda)f(x_0)$ .

Clearly the same applies, with the same  $P(\lambda)$ , for any  $x_0 \in \mathcal{H}$ .

We want a formula for  $k \mapsto P$ .

In the half-plane model, use the function  $f = y^\zeta$  which satisfies  $\Delta f = \lambda f$  for  $\lambda = \zeta - \zeta^2$ . Take the base point  $x_0 = i$ . We have

$$P(\lambda) = \int_{\mathcal{H}} y^{\zeta-2} k(d(x+iy), i) \, dx dy.$$

In general, for points  $z, w$  in the half-plane define

$$D(z, w) = \frac{|z - w|^2}{\operatorname{Im} z \operatorname{Im} w}$$

Then  $1 + D(z, w) = \cosh d(z, w)$ . Write  $\kappa(D) = k(\cosh^{-1}(1 + D))$ . Then

$$P(\lambda) = \int_{\mathcal{H}} \kappa(y^{-1}(x^2 + (y-1)^2)) y^{\zeta-2} dx dy.$$

Write  $x = y^{1/2}u$ ,  $y = e^{2t}$  and  $\zeta = 1/2 + is/2$ . We get:

$$P(\lambda) = 2 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \kappa(u^2 + 4 \sinh^2(t)) e^{ist} du dt.$$

Define an operator (the Abel transform) on functions on  $\mathbf{R}$  by

$$A(f)(v) = \int_{-\infty}^{\infty} f(v + u^2) du.$$

Then, if  $\lambda = s^2 + 1/4$ ,

$$P(\lambda) = 2 \int_{-\infty}^{\infty} A(\kappa)(4 \sinh^2 t) e^{ist} dt.$$

So the procedure to go from  $\kappa$  to  $P$  is the composite of

- The Abel transform  $\kappa \mapsto A(\kappa)$ ;
- change of variable  $v = 4 \sinh^2 t$ ;
- take the Fourier transform at  $s$ , where  $\lambda = s^2/4 + 1/4$ .

Let  $\partial$  denote the operation of differentiation, on functions on  $\mathbf{R}$ .  
The operator  $A$  commutes with  $\partial$  and satisfies:

$$A^2\partial = -\pi\text{id.}$$

up to a factor.

To see this, for a function  $f$  we have

$$A^2(f)(v) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(v + u_1^2 + u_2^2) du_1 du_2.$$

Take polar co-ordinates  $u_1 = r \cos \theta$ ,  $u_2 = r \sin \theta$ . Then

$$A^2(f)(v) = \int_0^{\infty} \int_0^{2\pi} f(v + r^2) r dr d\theta$$

which is

$$\pi \int_0^{\infty} f(v + \rho) d\rho.$$

So for a function  $f$  vanishing at infinity

$$(A^2\partial)(f)(v) = -\pi f(v).$$

Thus  $A^{-1} = -\pi^{-1}A\partial = -\pi^{-1}\partial A$ . All the steps above can be inverted and we have a procedure to go from the function  $P$  to the function  $\kappa$ .

- Set  $h(s) = P(s^2/4 + 1/4)$ .
- Take the inverse Fourier transform:

$$g(t) = (2\pi)^{-1} \int_{-\infty}^{\infty} h(s)e^{-ist} ds.$$

- Change variables to  $v = 4 \sinh^2 2t$  and, for  $v \geq 0$  set  $Q(v) = g(t)$ . Write  $Q' = \frac{dQ}{dv}$ .
- Then

$$\kappa(D) = \int_0^{\infty} Q'(D + u^2) du.$$

We will be particularly interested in  $\kappa(0) = k(0)$ .

$$\kappa(0) = \int_0^\infty Q'(u^2) du = \int_0^\infty Q'(v) \frac{dv}{2\sqrt{v}}$$

We have

$$\frac{dQ}{dv} = \frac{dg}{dt} \frac{dt}{dv}$$

so

$$\kappa(0) = \int_0^\infty \frac{dg}{dt} \frac{dt}{2\sqrt{v}} = \int_0^\infty \frac{dg}{dt} \frac{dt}{4 \sinh t}.$$

Now

$$\frac{dg}{dt} = (2\pi)^{-1} \int_{-\infty}^\infty (-is) h(s) e^{-ist} ds.$$

So, bearing in mind that  $h$  is an even function of  $s$ ,

$$\kappa(0) = \int_0^\infty \int_0^\infty s h(s) \frac{\sin(st)}{\sinh t} ds dt.$$

By contour integration one can show that

$$\int_0^{\infty} \frac{\sin st}{\sinh t} dt = \tanh(2s)$$

So (*up to a factor !*):

$$\kappa(0) = \int_0^{\infty} s \tanh(2s) h(s) ds.$$



Taking the theory further there is a decomposition of representations:

$$L^2(\mathcal{H}) = \int_0^\infty \sinh(2s) P_s. \quad (***)$$

To give some suggestion towards this, recall that if  $M$  is a compact space and  $K$  is a continuous function on  $M \times M$  the integral operator

$$T_K(f)(x) = \int_M K(x, y) f(y) dy,$$

is a trace-class operator and the trace is

$$\mathrm{Tr}(T_K) = \int_M K(x, x) dx.$$

If we apply this formally to  $T_k$  on  $\mathcal{H}$  we would have

$$\mathrm{Tr}(T_k) = k(0)\mathrm{Vol}(\mathcal{H}).$$

Of course the volume is infinite so this does not make literal sense.

But having in mind that  $T_k$  acts as  $h(s)$  on  $P_s$  the other way to write the “trace” given (\*\*\*) is

$$\mathrm{Tr}(T_k) = \int_0^\infty s \tanh(2s) h(s) ds \dim P_s.$$

## Short digression

Under very general conditions on a group  $G$  there is a *Plancherel measure*  $\mu$  on the set  $\hat{G}$  of isomorphism classes of unitary representations such that

$$L^2(G) = \int_{\hat{G}} V_{\rho} \otimes V_{\rho}^* d\mu(\rho).$$

(Theorem of Naimark).

For  $G = SL(2, \mathbf{R})$  the measure is supported on the series  $P_s, P_s^-$  and the discrete series  $D_n, n \in \mathbf{Z}$ . The formula is

$$L^2(G) = \bigoplus_n D_n \otimes D_n^* \oplus \int_0^\infty P_s s \tanh(2s) ds \oplus \int_0^\infty P_s^- s \coth(2s) ds.$$

The only representations that have a  $K$ -fixed vector are the  $P_s$  and for each  $s$  that space is 1-dimensional. Considering the right action of  $K$  we see that the Plancherel formula implies that

$$L^2(\mathcal{H}) = \int_0^\infty P_s s \tanh(2s) ds.$$

Conversely if we know this, and a corresponding statement in the “odd” case, we can recover the Plancherel formula by considering the operator  $\partial$  on  $\mathcal{H}$  taking sections of  $K^{m/2}$  to sections of  $K^{1+m/2}$ .

## The Selberg Trace formula Background

First, let  $G$  be a compact Lie group and  $\Gamma$  a subgroup of  $G$ . Let  $M = \Gamma \backslash G$ . Then  $G$  acts on  $M$  and hence on  $L^2(M)$ . Let  $V_\alpha$  be an irreducible representation of  $G$ .

What is the multiplicity  $m_\alpha$  of  $V_\alpha$  in  $L^2(M)$ ?

We know that

$$L^2(G) = \bigoplus_{\alpha} V_{\alpha} \otimes V_{\alpha}^*.$$

So  $m_\alpha$  is the dimension of the  $\Gamma$ -invariant subspace in  $V_\alpha^*$ .

Now let  $G$  be any Lie group with bi-invariant measure and  $\Gamma \subset G$  a discrete subgroup such that  $M = \Gamma \backslash G$  is compact. Then  $L^2(M)$  is a representation of  $G$ .

Then it can be shown that the irreducible unitary representations of  $G$  occur discretely in  $L^2(M)$ .

In particular take  $G = SL(2, \mathbf{R})$ . For simplicity, we assume that  $\Gamma$  maps injectively to  $PSL(2, \mathbf{R}) = SL(2, \mathbf{R}) / \pm 1$ . Suppose that  $\Gamma$  acts freely on  $\mathcal{H}$ . So  $\Gamma \backslash \mathcal{H}$  is a compact Riemann surface

$$\Sigma = \Gamma \backslash G / K.$$

The manifold  $M$  is a circle bundle over  $\Sigma$ , corresponding to a square root  $K_\Sigma^{1/2}$ .

Similar to the compact case, but with a more involved proof, one has

### Proposition

- 1 The multiplicity of  $P_s$  in  $L^2(M)$  is the dimension of the space of eigenfunctions of  $\Delta$  on  $\Sigma$  with eigenvalue  $s^2/4 + 1/4$ .
- 2 The multiplicity of  $D_n$  in  $L^2(M)$  is the dimension of the space of holomorphic sections of  $K_\Sigma^{n/2}$  on  $\Sigma$ .

With similar statements for the  $P_s^-$  and the complementary series.

Here we are concerned with case (1) above.

The same “spectrum” appears from Riemannian geometry and representation theory.

Write  $\Lambda$  for this Laplacian eigenvalue spectrum of  $\Sigma$ , counted with multiplicity in the usual way.



Let  $P(\lambda)$  be a (suitable) function on  $[0, \infty)$ , for example  $P(\lambda) = e^{-\lambda\tau}$ . Then we can form an operator  $P(\Delta)$  on  $\Sigma$  and

$$\mathrm{Tr} P(\Delta) = \sum_{\lambda \in \Lambda} P(\lambda).$$

The Selberg Trace formula expresses this trace in terms of other geometric data.

## Definitions

*A geodesic loop on  $\Sigma$  is a geodesic  $\gamma : [0, L] \rightarrow \Sigma$  with  $\gamma(0) = \gamma(L)$ .*

*A primitive closed geodesic is the image of a geodesic embedding  $S^1 \rightarrow \Sigma$ .*

Write  $\mathcal{L}$  for the “length spectrum”, the lengths of primitive closed geodesics, counted with multiplicity.

As before, set  $h(s) = P(1/4 + s^2/4)$  and let  $g(t)$  be the Fourier transform.

The Selberg Trace formula (for suitable functions  $P$ ) is

$$\mathrm{Tr}P(\Delta) = (4\pi)^{-1} \mathrm{Area}(\Sigma) \int_0^\infty h(s) s \tanh(2s) ds + \sum_{L \in \mathcal{L}} \Pi(L),$$

where

$$\Pi(L) = L \sum_{m=1}^{\infty} \frac{g(mL/2)}{\sinh mL/2}.$$

For example take  $P(\lambda) = e^{-\tau\lambda}$  for  $\tau > 0$ .

This defines the heat kernel on  $\Sigma$ . Consider the asymptotics as  $\tau \rightarrow 0$ . The first term on the right hand side of the formula has an asymptotic expansion  $a_0\tau^{-1} + a_1 + a_2\tau + \dots$ . This is what could be computed from local differential geometry. The sum in the second term involves terms like  $\exp(-L^2/\tau)$  which vanish to infinite order as  $\tau \rightarrow 0$ .

To establish the trace formula, let  $k$  be the function corresponding to  $P$ , as discussed above.

For  $x, y \in \mathcal{H}$  write  $\underline{k}(x, y) = k(d(x, y))$ . Then

$$K(x, y) = \sum_{\gamma \in \Gamma} \underline{k}(x, \gamma y) \quad (*)$$

is preserved by  $\Gamma$  acting on  $x$  and  $y$  and so descends to a function  $K_{\Sigma}$  on  $\Sigma \times \Sigma$  defining an operator  $T_{\Sigma}$ .

Let  $\pi : \mathcal{H} \rightarrow \Sigma$  be the covering map. From the definition we have

$$T_k(\pi^*(f)) = \pi^*(T_{\Sigma}f).$$

Using what we know on  $\mathcal{H}$ , it follows that  $T_{\Sigma} = P(\Delta)$ . So

$$\text{Tr}P(\Delta) = \int_{\Sigma} K_{\Sigma}(x, x) dx. \quad (**)$$

Let  $\tilde{\Sigma}$  be the set of pairs  $(x, [\alpha])$  where  $x \in \Sigma$  and  $[\alpha] \in \pi_1(\Sigma, x)$ . For each such pair there is a unique geodesic loop  $\alpha$  based at  $x$  in the given homotopy class. So we have a length function  $\tilde{L} : \tilde{\Sigma} \rightarrow \mathbf{R}$ .

From the definition, there is a covering map  $p : \tilde{\Sigma} \rightarrow \Sigma$ , so  $\tilde{\Sigma}$  is a Riemann surface with hyperbolic metric. Looking at (\*) we see that

$$K_{\Sigma}(x, x) = \sum_{\tilde{x} \in \pi^{-1}(x)} k(\tilde{L}(\tilde{x})),$$

so

$$\int_{\Sigma} K_{\Sigma}(x, x) dx = \int_{\tilde{\Sigma}} k(\tilde{L}(\tilde{x})) d\tilde{x}. \quad (***)$$

Let  $\Omega$  be the set of conjugacy classes in  $\pi_1(\Sigma)$ . It can be identified with the free homotopy classes of maps  $S^1 \rightarrow \Sigma$ .

The space  $\tilde{\Sigma}$  is not connected, it has connected components  $\tilde{\Sigma}_a$  corresponding to classes  $a$  in  $\Omega$ .

If  $\alpha \in \pi_1(\Sigma)$  is a representative for a class  $a \in \Omega$  then  $\tilde{\Sigma}_a = Z \backslash \mathcal{H}$  where  $Z \subset \pi_1$  is the *centraliser* of  $\alpha$ . (The centralisers of different representatives are conjugate so this is independent of the choice of  $\alpha$ .)

Putting this together:

$$\mathrm{Tr} P(\Delta) = \sum_{a \in \Omega} I_a$$

where

$$I_a = \int_{\tilde{\Sigma}_a} l(L(\tilde{x})) d\tilde{x}.$$

For the trivial class  $a = 0$  we have  $\tilde{\Sigma}_0 = \Sigma$  and

$$I_0 = \int_{\Sigma} k(0)$$

which is the first term in the trace formula, by our calculation of  $k(0)$ .



An element of  $PSL(2, \mathbf{R})$  which has no fixed points in  $\mathcal{H}$  is conjugate to

$$\begin{pmatrix} \mu^{1/2} & 0 \\ 0 & \mu^{-1/2} \end{pmatrix}$$

for some  $\mu > 1$ . The centraliser is isomorphic to  $\mathbf{R}$ . It follows that if  $\alpha \in \pi_1(\Sigma) = \Gamma$  is not the identity then the centraliser is isomorphic to  $\mathbf{Z}$ . If  $\alpha$  is primitive then the centraliser is generated by  $\alpha$ .

Suppose  $a$  is a primitive class. Then, from the above,

$$\tilde{\Sigma}_a \cong \mathcal{H}/Z$$

where  $Z$  is the infinite cyclic group generated by  $z \mapsto \mu z$  (in the upper half space model), for some  $\mu$ . A fundamental domain is

$$\{z : 1 \leq \text{Im } z \leq \mu\}.$$

From this one sees that *each primitive conjugacy class contains a unique primitive closed geodesic representative*. The parameter  $\mu$  above is  $e^L$  where  $L$  is the length of the geodesic. Using the function  $\kappa$  as before we get, for a primitive class,

$$I_\alpha = \int_{-\infty}^{\infty} \int_1^\mu \kappa \left[ S^2 \frac{x^2 + y^2}{y^2} \right] y^{-2} dx dy,$$

where  $\mu = e^L$  and  $S = \mu^{1/2} - \mu^{-1/2} = 2 \sinh L/2$ .

Change variables by  $x = uy/S$  to get

$$I_\alpha = \frac{1}{2 \sinh L/2} \int_{-\infty}^{\infty} \int_1^\mu \kappa [u^2 + 4 \sinh^2(L/2)] du \frac{dy}{y}.$$

Hence

$$I_\alpha = \frac{L}{2 \sinh L/2} g(L/2).$$

This gives the contribution from primitive classes in the trace formula (the term  $m = 1$  in the sum defining  $\Pi(L)$ ). A small variant of the calculation deals with the other classes (i.e.  $m$  times a primitive class).